

# The CGC: An effective theory of QCD at high energies

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## Outline of lectures

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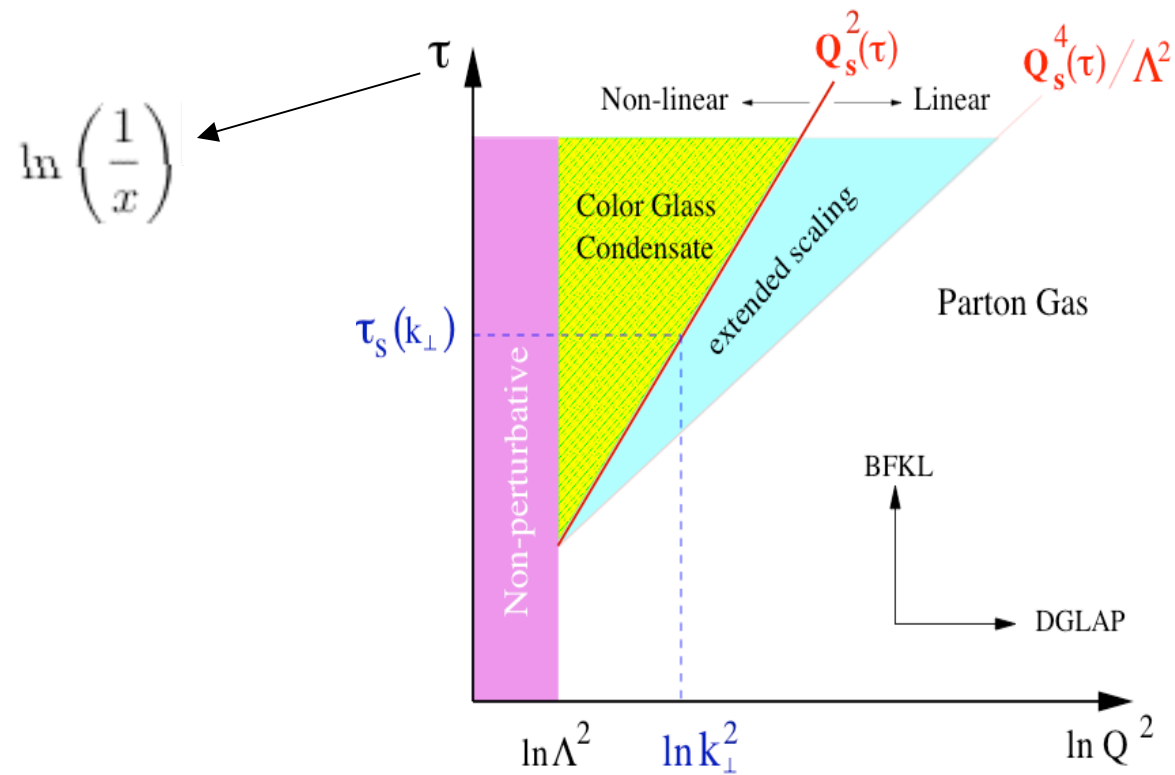
- **Lecture I:** *General introduction, the DIS paradigm, QCD evolution, saturation, the IMF hadron wave fn.*
- **Lecture II:** *The MV model, quantum evolution in the CGC, Wilson RG, analytic and numerical solutions.*
- **Lecture III:** *DIS and hadronic scattering at high energies*

# The Color Glass Condensate: An effective field theory of QCD at high energies

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- ❖ Life on the Light Cone
- ❖ The MV-model
- ❖ Quantum evolution: a Wilsonian RG
- ❖ The JIMWLK equations
- ❖ Analytical approximations and numerical solutions

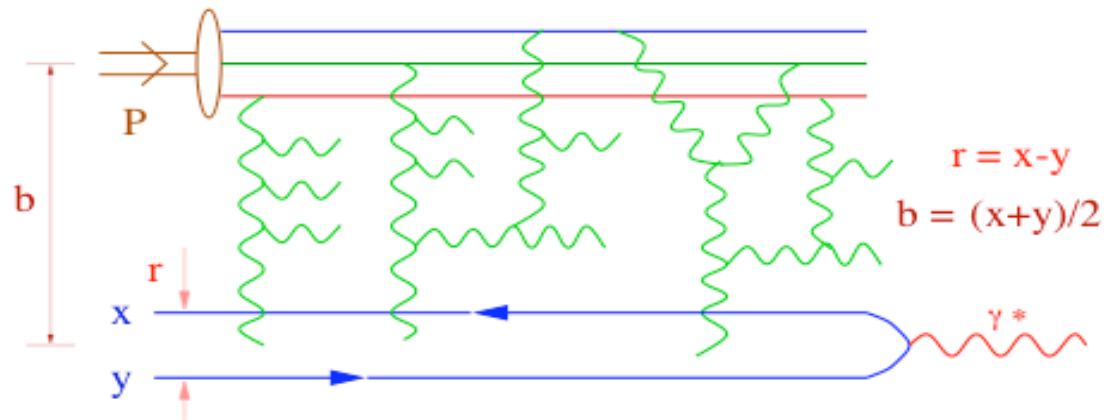
# Novel regime of QCD evolution at high energies



The Color Glass Condensate

# The Balitsky-Kovchegov equation

DIS :



I. Balitsky;  
Y. Kovchegov

$$\sigma^{\gamma^* p}(x, Q^2) = \int_0^1 dz \int d^2 r |\psi(z, r; Q^2)|^2 \sigma_{\text{dipole}}(x, r)$$

where  $\sigma_{\text{dipole}}(x, r) = 2 \int d^2 b (1 - S(x, r, b))$

McLerran, RV

with  $S(x, r, b) = \frac{1}{N_c} \langle \text{Tr} V^\dagger(x) V(y) \rangle_Y \equiv 1 - \mathcal{N}_Y(r, b)$

s-matrix

amplitude

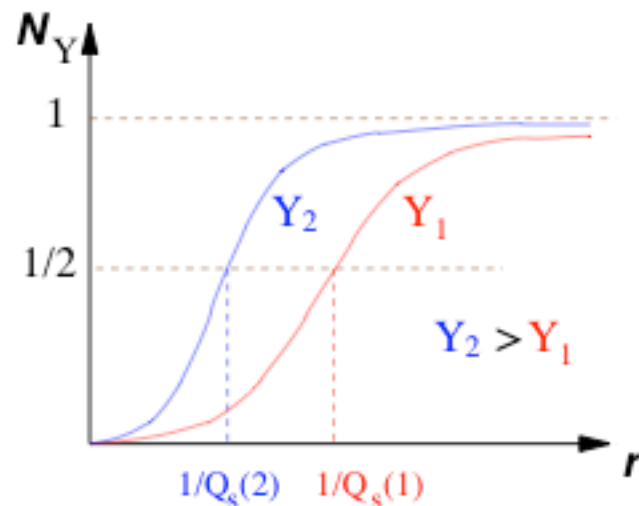
Path ordered exponential  $V^\dagger(x) = \mathcal{P} \exp \left( ig \int dx^- \alpha_a(x^-, x) T^a \right)$

➤ Weak field limit:  $V^\dagger(x) \approx 1 + ig\alpha(x)$  ;  $g\alpha \ll 1$

$$\Rightarrow \mathcal{N}_Y(r) \sim \alpha_s r^2 \frac{xG(x, 1/r^2)}{\pi R^2} \quad \text{violates unitarity bound if } \mathcal{N} > 1$$

➤ For  $r > 1/Q_s(Y)$  dipole probes strong fields ( $g\alpha \sim 1$ )

Iancu-McLerran RPA  $\Rightarrow \langle V^\dagger(x)V(y) \rangle_Y \ll 1$  for  $|x - y| \gg 1/Q_s(Y)$   
 $\Rightarrow \mathcal{N} \sim 1$  - dipole unitarizes



Choose  $\mathcal{N} = \frac{1}{2}$  as saturation condition  
to determine  $Q_s$

## BK: Evolution eqn. for the dipole cross-section

- The 2-point correlator  $\langle V^\dagger(x)V(y) \rangle$  in JIMWLK has a closed form expression for  $N_c \rightarrow \infty$  and  $A \gg 1$

$$\frac{\partial \mathcal{N}_Y(x, y)}{\partial Y} = \bar{\alpha}_s \int_z \frac{(x-y)^2}{(x-z)^2(y-z)^2} \left\{ \underbrace{\mathcal{N}_Y(x, z) + \mathcal{N}_Y(z, y) - \mathcal{N}_Y(x, y)}_{\text{BFKL}} - \underbrace{\mathcal{N}_Y(x, z)\mathcal{N}_Y(z, y)}_{\text{Non-linear}} \right\}$$

- For small dipole,  $(r \ll 1/Q_s(Y)) \Rightarrow$  BFKL eqn.

$$\mathcal{N}_Y(r) \approx (r^2 Q_0^2)^{1/2} e^{\omega \bar{\alpha}_s Y} \exp \left( -\frac{\ln^2(1/r^2 Q_0^2)}{2\beta \bar{\alpha}_s Y} \right)$$

- From saturation condition,

$$\mathcal{N} = 1/2 \text{ when } r \sim 1/Q_s(Y) \Rightarrow \boxed{Q_s^2(Y) \approx Q_0^2 e^{\lambda Y} \text{ with } \lambda \sim 4.8 \alpha_s}$$

- For large dipole,  $(r \gg 1/Q_s(Y))$

$$\mathcal{N}_Y(r) \approx 1 - \kappa \exp \left( -\frac{1}{4c} \ln^2(r^2 Q_s^2(Y)) \right) \quad \begin{array}{l} \text{Levin, Tuchin;} \\ \text{Iancu, McLerran, Mueller} \end{array}$$

$c \approx 4.8$

## Numerical solutions of the BK-Eqn.

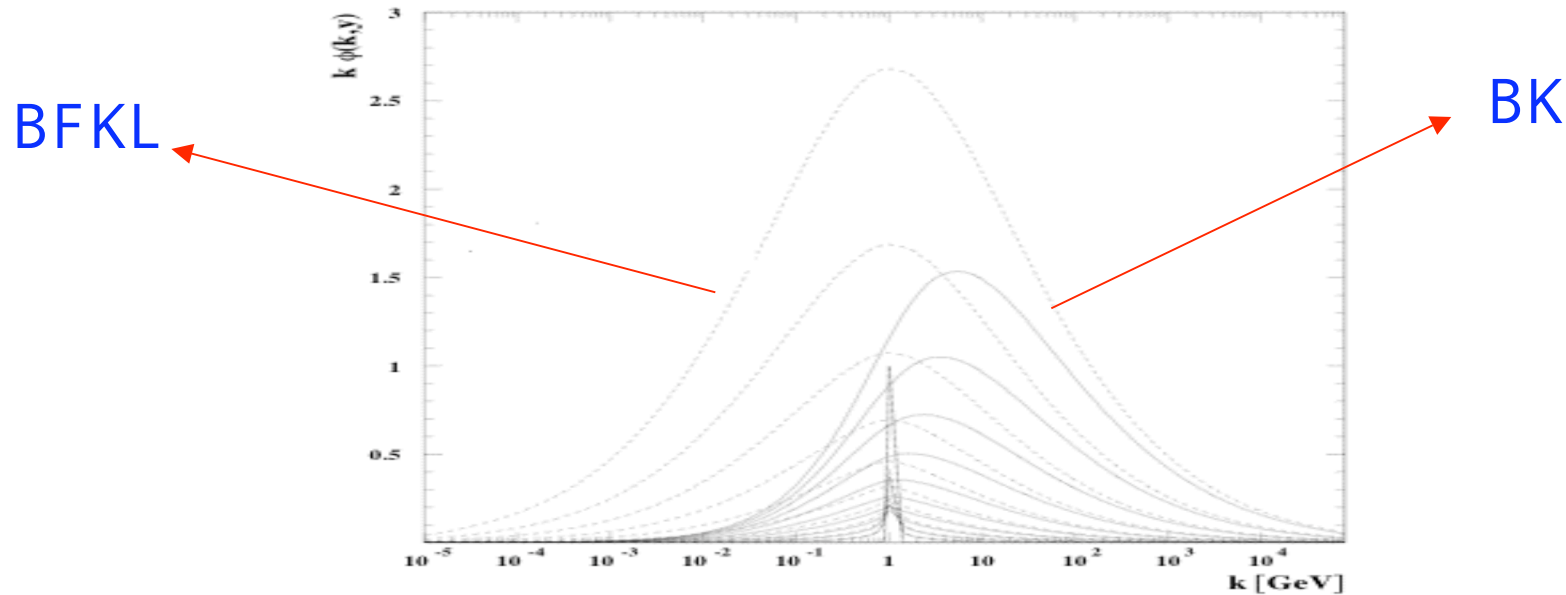


Figure 1: The functions  $k\phi(k, Y)$  constructed from solutions to the BFKL and the Balitsky-Kovchegov equations for different values of the evolution parameter  $Y = \ln(1/x)$  ranging from 1 to 10. The coupling constant  $\alpha_s = 0.2$ .

From K. Golec-Biernat, L. Motyka, A. M. Stasto, Phys Rev D65 (2002) 074037; hep-ph/0110325

No infrared diffusion a la BFKL in BK

Exact analogy to travelling waves => Munier, Peschanski



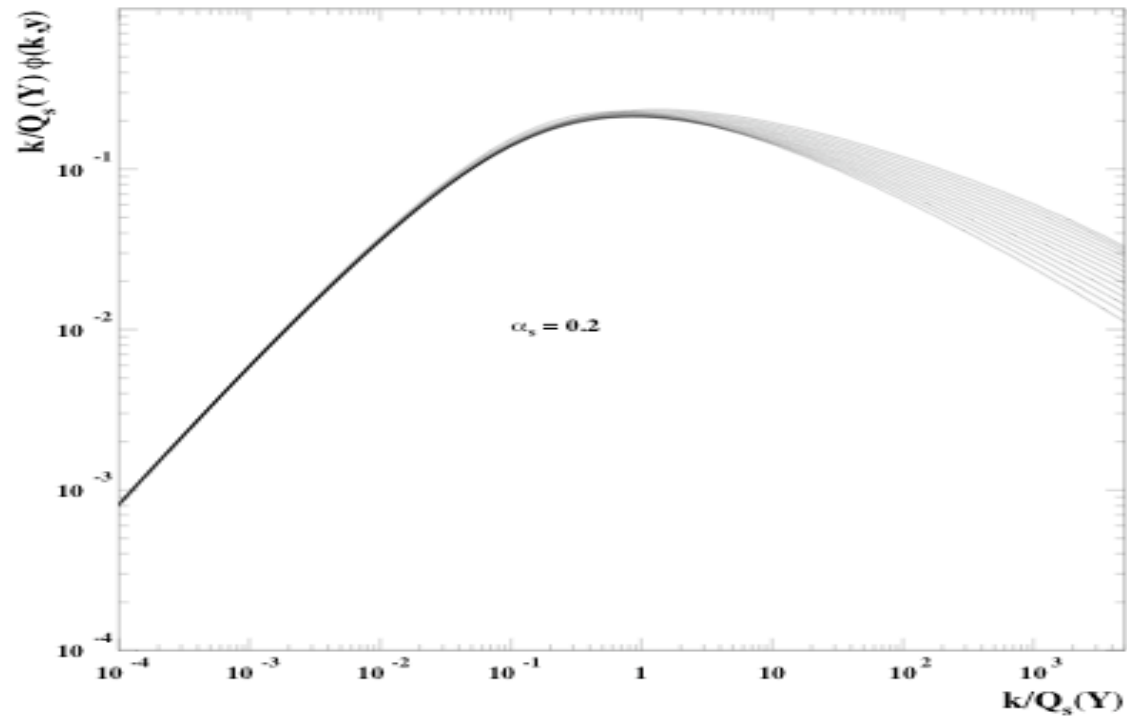


Figure 2: The function  $(k/Q_s(Y)) \phi(k, Y)$  plotted versus  $k/Q_s(Y)$  for different values of rapidity  $Y$  ranging from 10 to 23. The saturation scale  $Q_s(Y)$  corresponds to the position of the maximum of the function  $k \phi(k, Y)$ .

From K. Golec-Biernat, L. Motyka, A. M. Stasto, Phys Rev D65 (2002) 074037; hep-ph/0110325

## Synopsis of CGC numerics

Numerical simulations of BK-eqn display  
Geometrical Scaling

(Armesto,Braun; Golec-Biernat,Stasto,Motyka)

Infrared diffusion pathology of BFKL is  
cured.

State of the art: numerical simulations  
of JIMWLK n-point correlators by  
Rummukainen & Weigert

Running coupling effects **important** & still  
to be understood...

## Geometrical Scaling

Iancu, Itakura, McLerran;  
Mueller, Triantafyllopoulos

Can write the solution of BFKL as:

$$\mathcal{N}_Y(r_\perp) \approx \exp \left( \omega \bar{\alpha}_s Y - \frac{\rho}{2} - \frac{\rho^2}{2\beta \bar{\alpha}_s Y} \right) \text{ with } \rho = \ln \frac{1}{r_\perp^2 Q_0^2}$$

$\rho_S$  soln. where argument vanishes

$$\Rightarrow Q_s^2 = Q_0^2 e^{c \bar{\alpha}_s Y}, \text{ with } c = 4.84$$

For  $r_\perp < 1/Q_s$  (but close!), can write

$$\rho = \rho_S(Y) + \ln \frac{1}{r_\perp^2 Q_s^2} \equiv \rho_S + \delta\rho$$

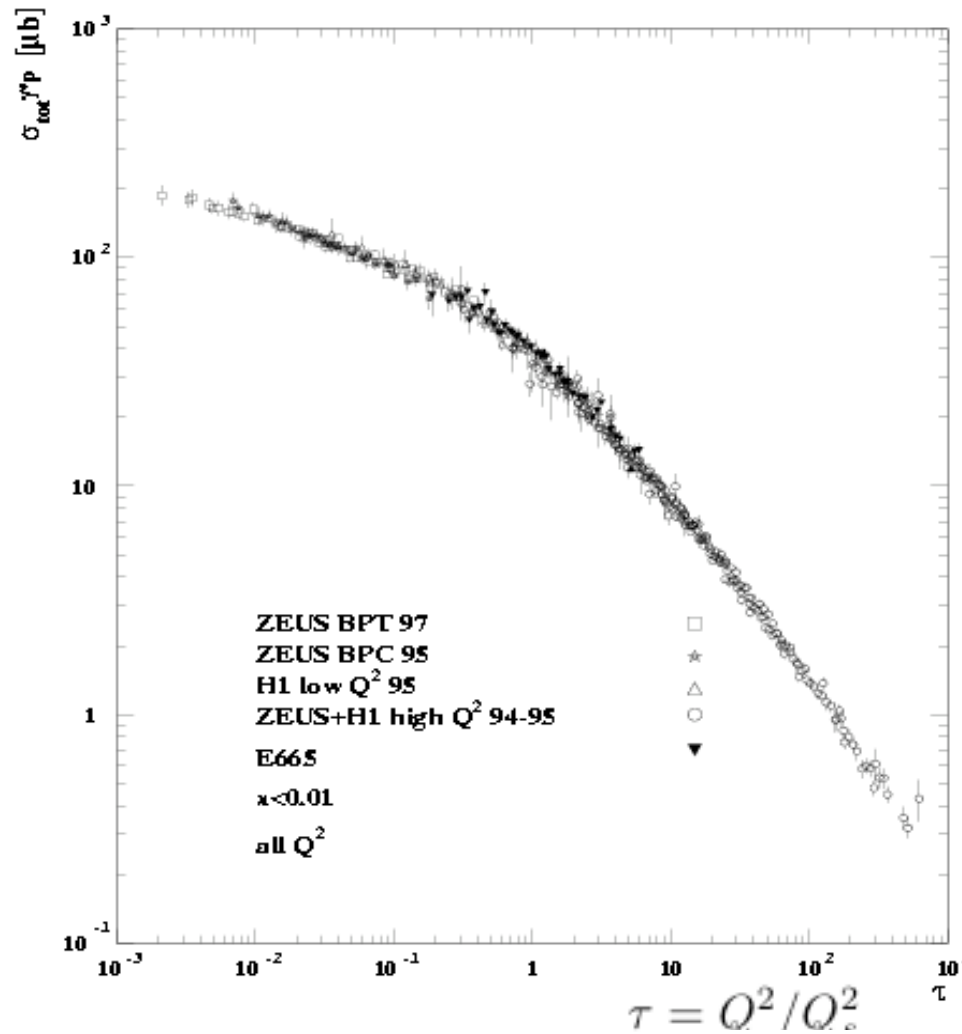
Plugging into  $\mathcal{N}_Y$ , can show simply

$$\mathcal{N}_Y \approx (r_\perp^2 Q_s^2(Y))^\gamma \text{ for } Q_s^2 \ll Q^2 \ll \frac{Q_s^4}{Q_0^2}$$

$\gamma \sim 0.64$  is large than BFKL anomalous dimension  $\sim 0.5$

# Geometrical scaling at HERA

(Golec-Biernat, Kwiecinski, Stasto)



Scaling seen for all  $x < 0.01$  and  $0.045 < Q^2 < 450 \text{ GeV}^2$

## How does $Q_s$ behave as function of $Y$ ?

Fixed coupling LO BFKL:  $Q_s^2 = Q_0^2 e^{c \bar{\alpha}_s Y}$

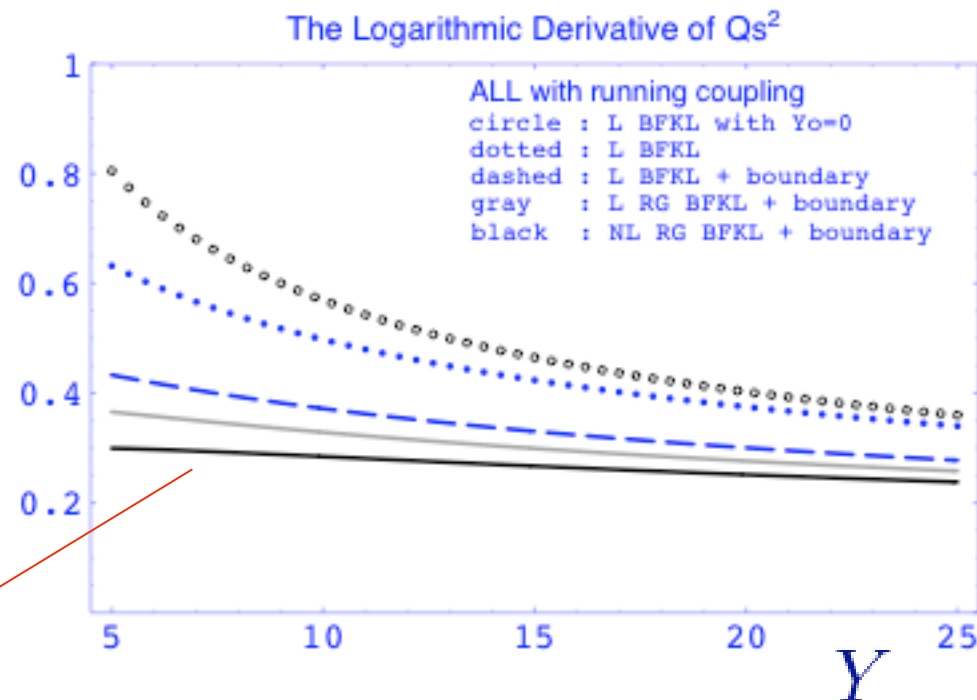
LO BFKL+ running coupling:  $Q_s^2 = \Lambda_{\text{QCD}}^2 e^{\sqrt{2b_0 c(Y+Y_0)}}$

Re-summed NLO BFKL + CGC:

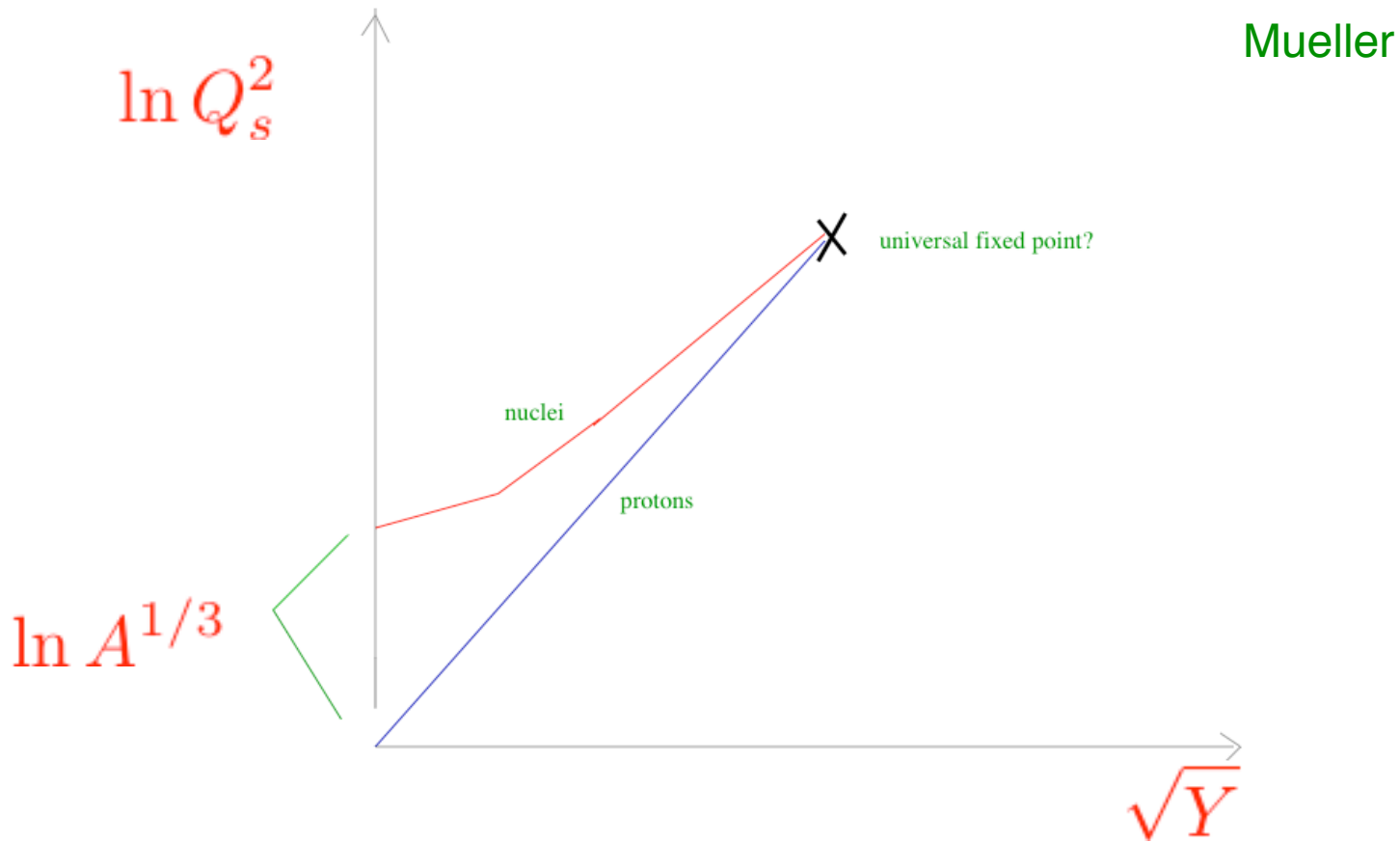
$$\lambda \equiv \frac{d \ln Q_s^2}{dY}$$

Triantafyllopoulos

Very close to  
HERA result!



## A-dependence of saturation scale



*Such interesting systematics may be tested at LHC & eRHIC*

# Hadron & Nuclear Scattering at high energies

## Introduction:

- Analytical & numerical studies of initial & final state effects in high energy hadronic scattering.
- Is “ $k_t$  factorization” of gluon & quark cross-sections a good assumption in p/D-A & AA-collisions?
- Relative importance of multiple scattering “Cronin” vs quantum evolution (geometrical scaling) effects on gluon and quark production in p/D-A and A-A collisions.  
(see talk by Iancu)
- Initial conditions for Heavy Ion Collisions. Does the system thermalize ?



## Systematic power counting for scattering in the CGC

Gluon & quark production to lowest order in sources  
(the dilute/pp case).

Gluon & quark production to lowest order in one source  
& all orders in the other (the semi-dense/pA case).

Gluon & quark production to all orders in both sources  
(the dense/AA case)

Dynamical evolution of soft & hard modes at late times  
in AA collisions

# Gluon & quark production in the dilute/pp region

$$(\rho_{p1}/k_{\perp}^2, \rho_{p2}/k_{\perp}^2) \ll 1$$

## Collinear Factorization:

Incoming partons have  $k_{\perp}=0$ . Applicable for  $Q \sim \sqrt{s} \gg \Lambda_{\text{QCD}}$

Gluon & quark distributions evaluated at the scale  $Q^2$  Are universal

## $k_{\perp}$ factorization:

Collins & Ellis; Catani, Ciafaloni & Hautmann

Incoming partons have  $k_{\perp}$ -applicable when  $\Lambda_{\text{QCD}} \ll Q \ll \sqrt{s}$

Described by unintegrated parton dists.  $\phi_{p,A}(k_{\perp})$

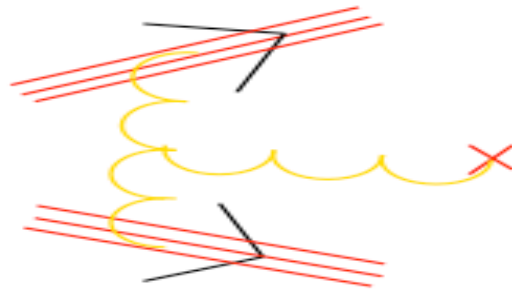
Is this  $k_{\perp}$  scale the saturation scale  $k_{\perp} \sim Q_{\perp s}$ ? Levin, Ryskin, Shabelski, Shuvaev

Several phenomenological studies by LRS and Hagler et al  
studying spectra and correlations in pp-collisions

(Related approach by Raufeisen, Kopeliovich, Tarasov)

CGC is powerful formalism to study these issues at high energies. Collinear and  $k_{\perp}$  factorization arise as specific limits of the formalism

- Inclusive gluon production in hadronic collisions to lowest order in  $\rho^1, \rho^2$  and in  $\alpha_s$  expressed in  $k_t$  factorized form



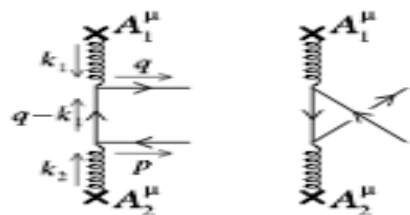
Kovner, McLerran, Weigert  
Kovchegov, Rischke  
Gyulassy, McLerran

This diagram in  $A^\tau = 0$  gauge is equivalent to sum of all bremsstrahlung diagrams in covariant gauge

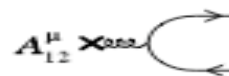
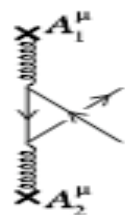
- Inclusive pair-production in CGC framework**

Gelis, RV

Work in  $\partial_\mu A^\mu = 0$  gauge



Abelian



non-Abelian-vertex here is the Lipatov vertex  $C^\mu$

$$A_{12}^\mu \propto 0(\rho_1 \rho_2)$$

$$\frac{d\sigma}{dy_p dy_q d^2p_\perp d^2q_\perp} = \frac{1}{(2\pi)^6} \frac{1}{(N_c^2 - 1)^2} \int \frac{d^2k_{1\perp}}{(2\pi)^2} \frac{d^2k_{2\perp}}{(2\pi)^2} \delta(\vec{k}_{1\perp} + \vec{k}_{2\perp} - \vec{p}_\perp - \vec{q}_\perp) \\ \times \phi_1(k_{1\perp}) \phi_2(k_{2\perp}) \frac{\text{Tr}(|m_{ab}^{-+}(k_1, k_2; q, p)|^2)}{k_{1\perp}^2 k_{2\perp}^2}$$

$|m_{ab}^{-+}(k_1, k_2; q, p)|^2$  is identical to Collins & Ellis'  $k_\perp$  factorization result

$$\frac{d\phi_1(k_{1\perp}, x_\perp)}{d^2x_\perp} = \frac{\pi g^2}{k_\perp^2} \int d^2r_\perp e^{-i\vec{k}_\perp \cdot \vec{r}_\perp} \langle \rho_a(x_\perp + \frac{r_\perp}{2}) \rho_a(x_\perp - \frac{r_\perp}{2}) \rangle_\rho$$

is the un-integrated gluon distribution in the Gaussian MV-

$$\frac{\text{Tr}(|\hat{m}_{ab}^{-+}(k_1, k_2; q, p)|^2)}{k_{1\perp}^2 k_{2\perp}^2}$$

is well defined in the collinear limit  
of  $|k_{1\perp}|, |k_{2\perp}| \rightarrow 0$

$|M|_{gg \rightarrow q\bar{q}}^2$  after integration over azimuthal angles

Recover lowest order collinear factorization result

# Gluon & quark production in the semi-dense/pA region

$$(\rho_p/k_{\perp}^2 \ll 1, \rho_A/k_{\perp}^2 \sim 1)$$

Blaizot, Gelis, RV

- Solve classical Yang–Mills eqns.

$$[D_{\mu}, F^{\mu\nu}] = J^{\nu} ; [D_{\nu}, J^{\nu}] = 0$$

with two light cone sources

$$J^{\nu,a} = \underbrace{\delta^{\nu+} \delta(x^-) \rho_1^a(x_{\perp})}_{\text{proton source}} + \underbrace{\delta^{\nu-} \delta(x^+) \rho_2^a(x_{\perp})}_{\text{nuclear source}}$$

- $\partial_{\mu} A^{\mu} = 0$   $\Rightarrow$  equations can be written as

$$(2\partial^+ \partial^- - \nabla_{\perp}^2) A^{\nu} = J^{\nu} + ig [A_{\mu}, F^{\mu\nu} + \partial^{\mu} A^{\nu}]$$

need  $A_{1\infty}^{\mu}$  = order  $O(\rho_1)$  in proton & order  $O(\rho_2^n)$ ;  $n \rightarrow \infty$  in nucleus

$$\begin{aligned} (\partial^- + ig A_{0\infty}^- \cdot T) J_{1\infty}^+ &= 0 \\ (2\partial^+ \partial^- - \nabla_{\perp}^2 + ig A_{0\infty}^- \cdot T \partial^+) A_{1\infty}^+ &= J_{1\infty}^+ \\ (2\partial^+ \partial^- - \nabla_{\perp}^2 + 2ig A) 0_{\infty}^- \cdot T \partial^+ A_{1\infty}^i &= ig (A_{0\infty}^- \cdot T) \partial^i A_{1\infty}^+ - ig (\partial^i A_{0\infty}^- \cdot T) A_{1\infty}^+ \\ A_{1\infty}^- &= \frac{1}{\partial^+} (\partial^i A_{1\infty}^i + \partial^- A_{1\infty}^+) \end{aligned}$$

$$A_{0\infty}^- = -\delta(x^+) \frac{1}{\nabla_{\perp}^2} \rho_2(x_{\perp}) \quad J_{1\infty}^+ \rightarrow A_{1\infty}^+ \rightarrow A_{1\infty}^i \rightarrow A_{1\infty}^-$$

## Diagrammatic Representation

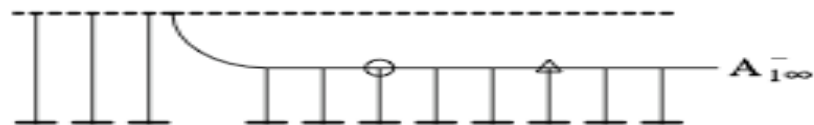
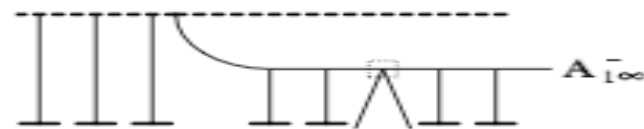


Solid line = 1 power of  $\rho_2$

Dashed line = 1 power of  $\rho_1$



Circular vertex is the vertex  $\Gamma^{i-+}$  for the transition  $A_{1\infty}^+ \rightarrow A_{1\infty}^i$



- The field  $A_{1\infty}^-$  can be computed from the gauge condition  $\partial_\mu A^\mu = 0$

- The gluon field produced in pA collisions has the compact form:

$$q^2 \tilde{A}_{1\infty}^\mu(q) = i \int \frac{d^4 k}{(2\pi)^4} (C_U^\mu U(k_2) + C_V^\mu V(k_2) + C_1^\mu \mathbf{1}(k_2)) 2\pi \delta(k^-) \frac{\rho_1(k_\perp)}{k_\perp^2}$$

$$\text{F.T.} \mathcal{P}_+ \exp \left[ ig \int_{-\infty}^{\infty} dz^+ A_A^-(z^+, y_\perp) \cdot T \right] \quad \text{F.T.} \mathcal{P}_+ \exp \left[ \frac{ig}{2} \int_{-\infty}^{\infty} dz^+ A_A^-(z^+, y_\perp) \cdot T \right]$$

- The well known Lipatov vertex is simply

$$C_L^\mu = C_U^\mu + \frac{1}{2} C_V^\mu$$

For on-shell gluons,

$$C_1^\mu = 0; C_U \cdot C_V = C_V^2 = 0 \text{ and } C_U^2 = C_V^2 = -\frac{4k_{1\perp}^2 k_{2\perp}^2}{q_\perp^2}$$

Thus only bi-linears of Wilson line U survive in the squared amplitude



- Final result for the gluon multiplicity in pA

$$N_g = \frac{4g^2 N_c}{\pi^2 (N_c^2 - 1) q_\perp^2} \int \frac{d^3 q}{(2\pi)^3 2E_q} \frac{d^2 k_\perp}{(2\pi)^2} \int d^2 x_\perp \frac{d\phi_p(k_\perp, x_\perp)}{d^2 X_\perp} \frac{d\phi_A(q_\perp - k_\perp, x_\perp - b)}{d^2 X_\perp}$$

$k_t$  factorized into product of proton \* nuclear  
unintegrated distributions

Kovchegov, Mueller  
Kovchegov, Tuchin  
Kovchegov, Kharzeev, Tuchin

$\phi_A(k_t, x_\perp) \propto \langle U_{ab}^\dagger U_{bc} \rangle_{\rho^2}$  —is non-linear—contains  
gluon density to all orders—proportional to gluon density  
at large  $k_t$

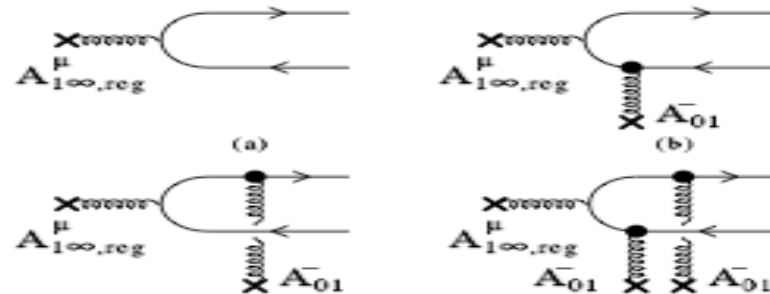
- Exactly equivalent to result of Dumitru &  
McLerran in  $A^\tau = 0$  gauge

Dumitru, Jalilian-Marian, Gelis

- Cronin effect? See Iancu's talk

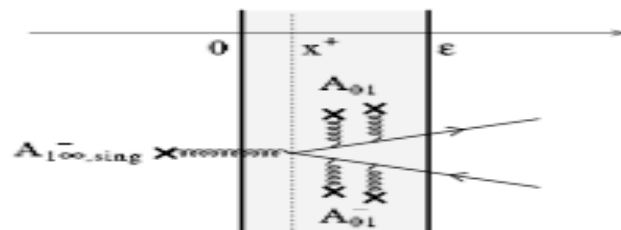


## Quark production to all orders in pA



$A_{1\infty}^{\mu}$  is the gluon field  
to  $O(\rho_1 \rho_2^n)$   $n \rightarrow \infty$

- Computed both Feynman & retarded amplitudes—  
differ only by a phase.
- Again, the V–Wilson lines disappear—need  
contribution from pair scattering in nucleus



- Result for neither quark pair production nor single quark  
production is  $k_t$  factorizable

Blaizot, Gelis, RV

Result can however still be factorized

$$\frac{d\sigma^{pA \rightarrow q\bar{q}X}}{dy_p dy_A d^2p_\perp q_\perp} \propto \phi_p \times [A\phi_{g,g} + (B\phi_{g;q\bar{q}} + c.c) + C\phi_{q\bar{q};q\bar{q}}]$$

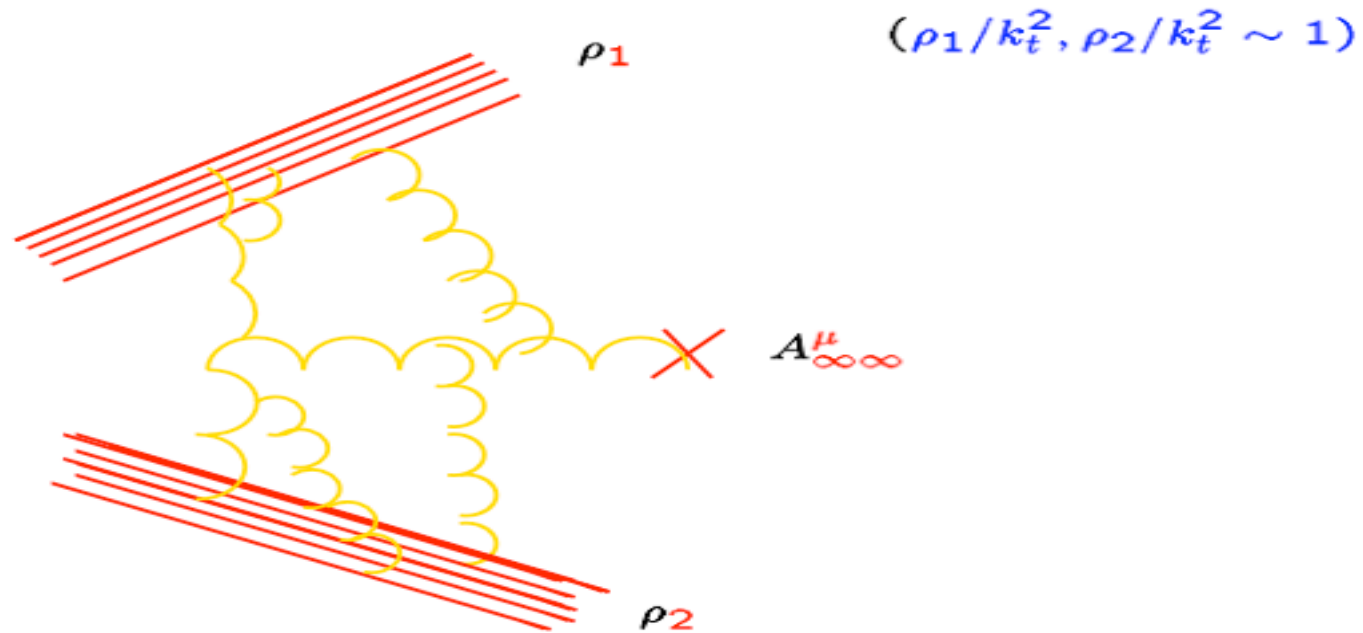
$$< U_A(x_\perp) U_A^\dagger(y_\perp) >$$

$$< U_F(x_\perp) \tau^a U_F^\dagger(y_\perp) U_F(y'_\perp) \tau^b U_F(x'_\perp) >$$

$$< U_F(x_\perp) \tau^a U_F^\dagger(y_\perp) \tau^{b'} (U_A^{a'b'})^\dagger(y'_\perp) >$$

These correlators can be computed  
with JIMWLK RG equations.

## Gluon & Quark production in the dense/AA region

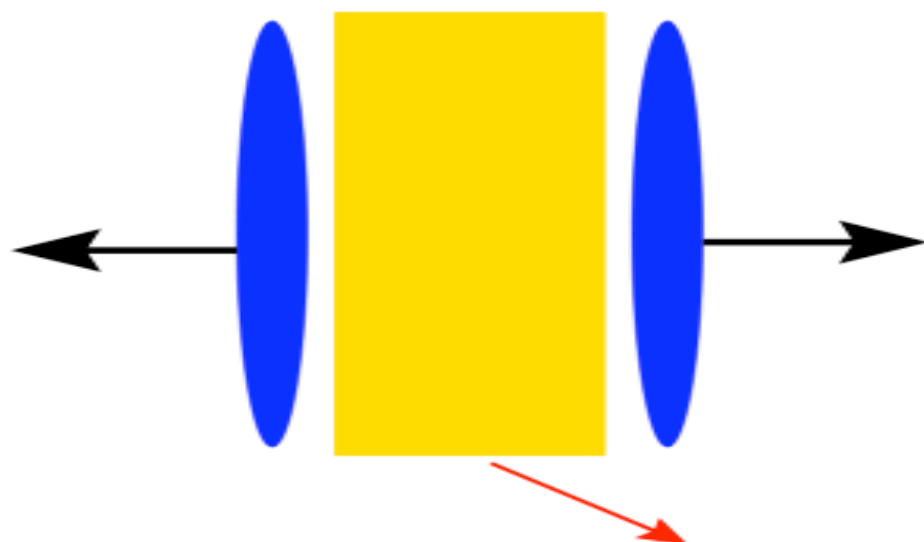


- Likely not  $k_{\perp}$  factorizable—only solved numerically thus far
 

Krasnitz,RV  
 Krasnitz,Nara,RV  
 Lappi
- Wave-fn evolution effects difficult to include  
 —work of Rummukainen & Weigert promising...

Quantum evolution included only  
 through saturation scale in KNV

## Real Time Gluodynamics of Nuclear Collisions



Kovner, McLerran, Weigert  
Krasnitz, Nara, Venugopalan  
Lappi

Classical Fields with occupation #  $f = \frac{1}{\alpha_s}$

- Non-perturbative formulae for initial glue distributions

$$\frac{1}{\pi R^2} \frac{dE_T^{\text{glue}}}{d\eta} = \frac{0.25}{g^2} Q_s^3$$

$$\frac{1}{\pi R^2} \frac{dN^{\text{glue}}}{d\eta} = \frac{0.3}{g^2} Q_s^2$$

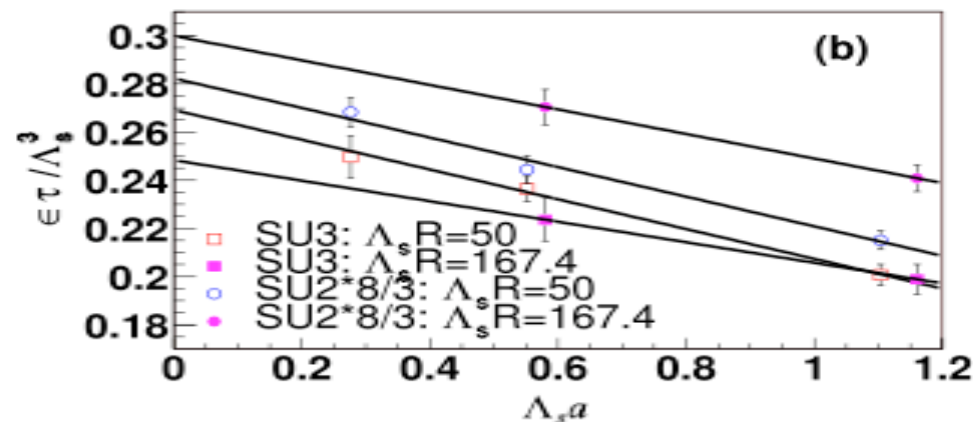
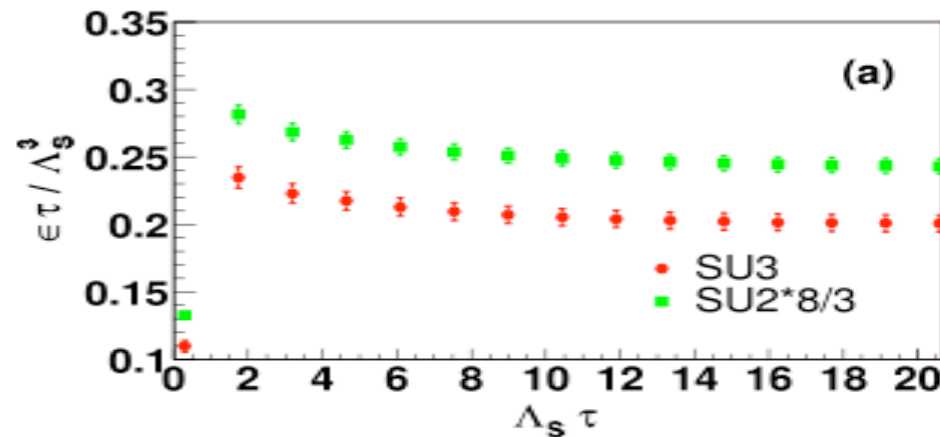
- Classical approach breaks down at late time when  $f \ll 1$ ...

$$\tau \gg \frac{1}{Q_s} \quad \text{but} \quad \tau \ll R$$

# Results

## Total energy of gluons

$$\frac{1}{\pi R^2} \frac{dE_{\perp}}{d\eta} \Big|_{\eta=0} = \frac{f_E(\Lambda_s R)}{g^2} \Lambda_s^3$$



$$\epsilon = \frac{0.08}{g^2} \Lambda_s^4$$

Proper time dependence:

$$\epsilon\tau = \alpha + \beta \exp(-\gamma\tau)$$

$dE_{\perp}/d\eta/\pi R^2 = \alpha$  is the energy density and

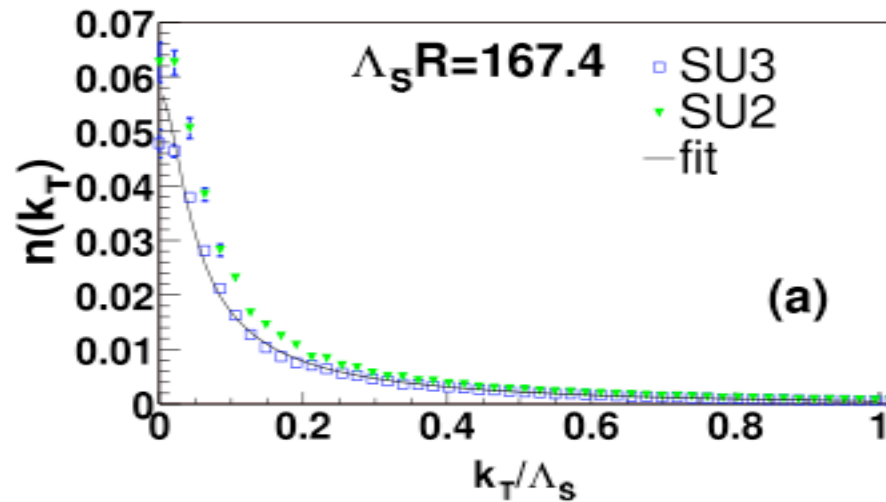
$$\tau_D = 1/\gamma/\Lambda_s$$

is the "formation time"

(~0.3 fm for RHIC and  
~0.1 fm for LHC)

The energy density at  
 $\tau_D$  is then

## Transverse momentum distributions of gluons



$$n(k_\perp) = \tilde{f}_N / (N_c^2 - 1)$$

The SU(3) gluon distribution is fitted by the form

$$\frac{1}{\pi R^2} \frac{dN}{d\eta d^2 k_\perp} = \frac{\tilde{f}_N}{g^2}$$

where

$$\tilde{f}_N = \frac{a_1}{\exp\left(\frac{\sqrt{k_\perp^2 + m^2}}{T_{\text{eff}}}\right) - 1}$$

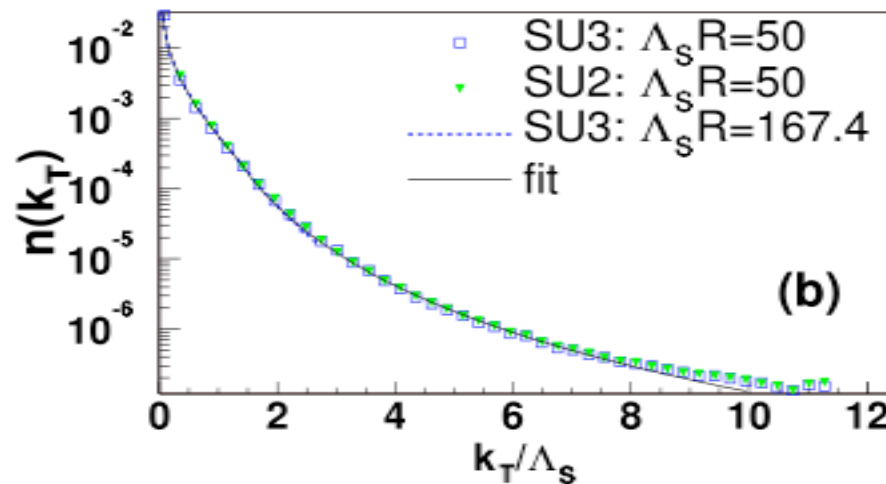
for  $k_\perp/\Lambda_s < 1.5$

and

$$\tilde{f}_N = a_2 \Lambda_s^4 \ln(4\pi k_\perp/\Lambda_s) k_\perp^{-4}$$

for  $k_\perp/\Lambda_s > 1.5$

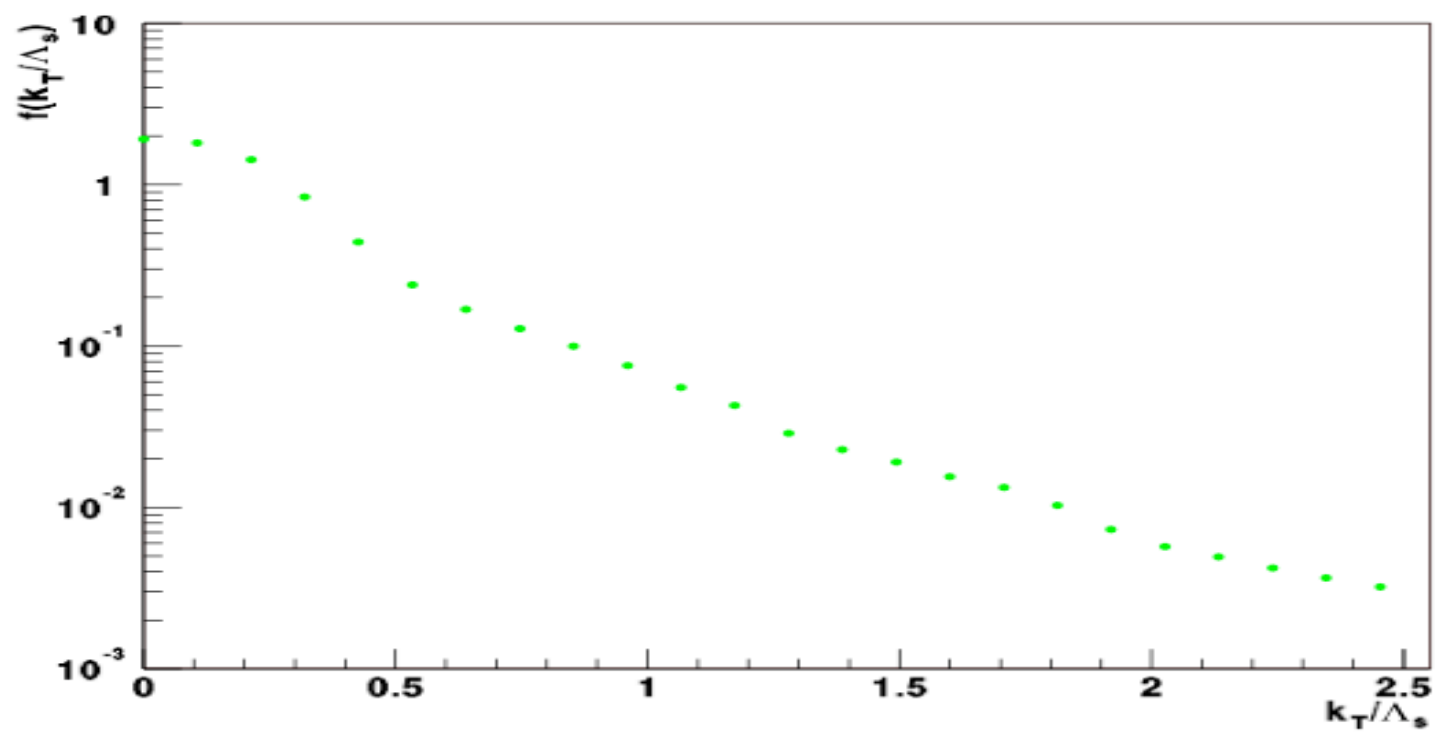
$$T_{\text{eff}} = 0.47 \Lambda_s$$



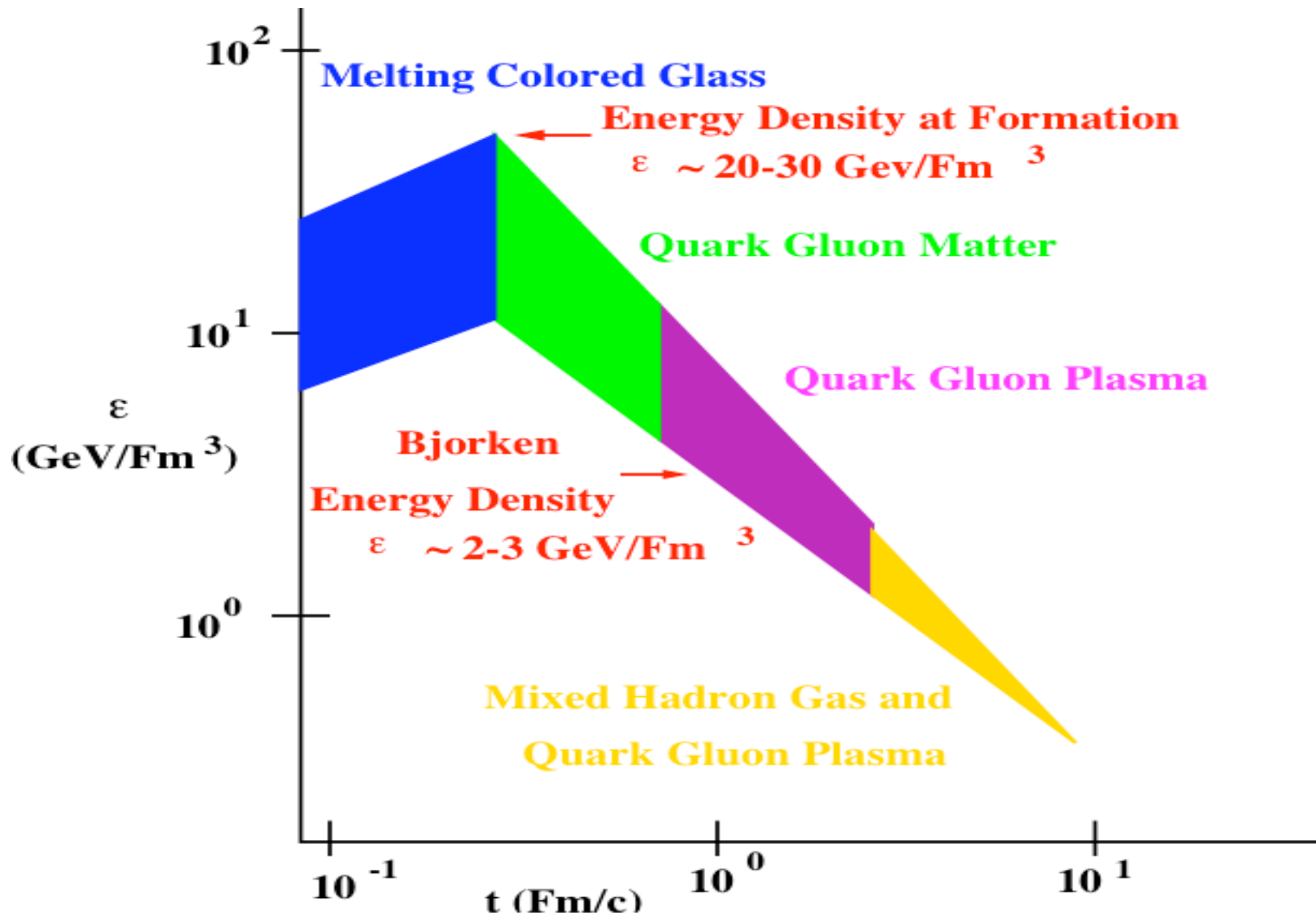
$$a_1 = 0.137 ; a_2 = 0.009 ; m = 0.04 \Lambda_s$$

● The transverse momentum dist. is infrared finite...

Occupation #  $f = \frac{(2\pi)^3}{2(N_c^2 - 1)} \frac{dN}{d^3x d^3p}$

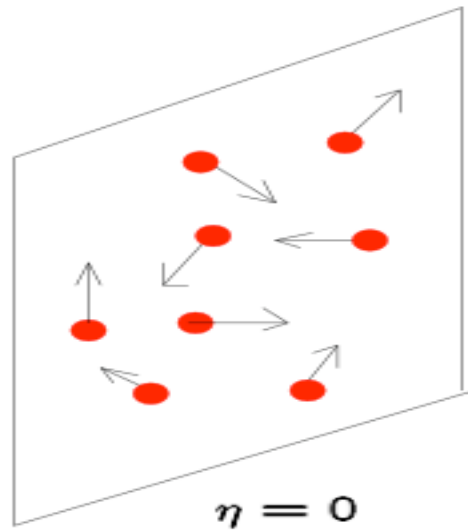


## Space-time history of a heavy ion collision





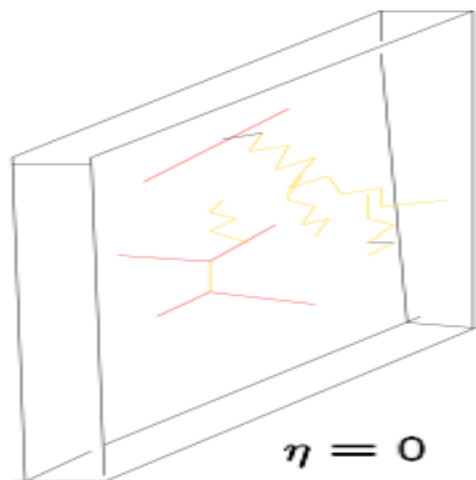
- *The CGC describes only the initial state—produced gluons may re-scatter and thermalize...*



$$\tau \sim 1/\Lambda_s$$

$$p_{\perp} \sim \Lambda_s$$

$$p_z \sim 0$$

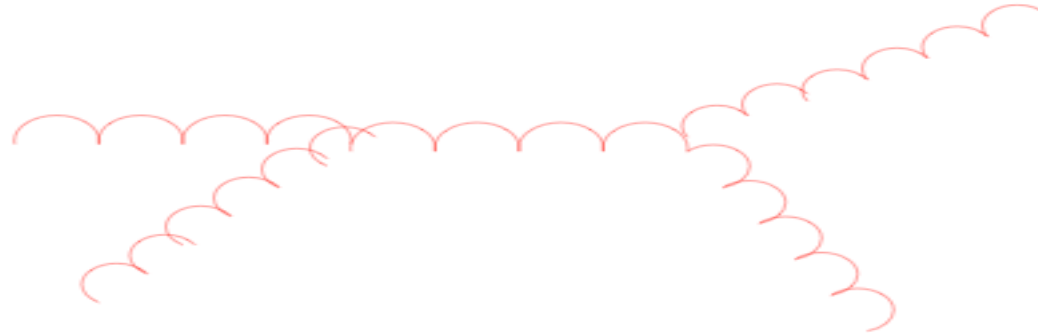


$$1/\Lambda_s \ll \tau \ll R$$

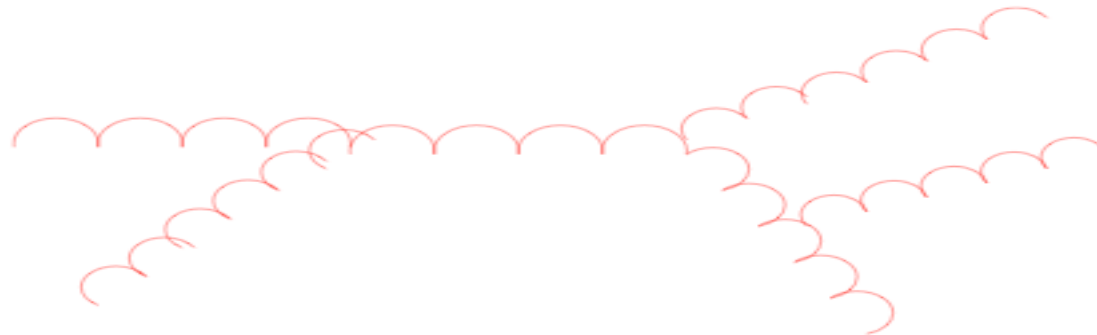
$$p_{\perp} \sim p_z \sim T$$

A. H. Mueller  
Bjorker, R.V

- *Small angle scattering drives the system only slowly towards equilibrium...*



- *2  $\rightarrow$  3 processes may be more efficient...*



Baier, Mueller, Schiff, Son

Role of collective instabilities in  
thermalization? Arnold, Lenaghan, Moore

# Outlook

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Need self-consistent treatment of soft & hard modes with CGC initial conditions.

Early thermalization still a puzzle  
In pQCD based approaches.